

Privacy-Enhancing Technologies

Module 2: Measuring Privacy – Metrics

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Disclaimer: This lecture was prepared in cooperation with Prof. Patricia Arias-Cabarcos and Dr. Javier Parra-Arnau





KASTEI

CeTI

Outline



- The importance of privacy metrics
- Privacy domains
- Aspects of privacy metrics
- Classification of privacy metrics¹

¹ Isabel Wagner and David Eckhoff, "Technical Privacy Metrics: A Systematic Survey", ACM Comput. Surv. 51, 3, Article 57, June 2018.



Outline



The importance of privacy metrics

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Privacy and human rights



- Data privacy is the adaptation to the Information Society of the fundamental right to privacy and private life.
- It is included by the United Nations in the Universal Declaration of Human Rights (1948), in Article 12:

No one shall be subjected to arbitrary interference with his privacy, family, home or correspondence, nor to attacks upon his honor and reputation. Everyone has the right to the protection of the law against such interference or attacks.

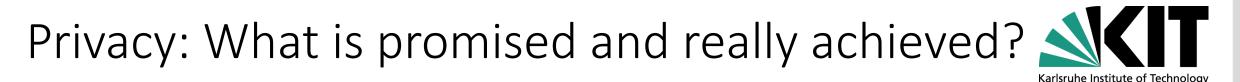


The need for privacy and utility metrics



- Data privacy technologies are about technically enforcing that right in the information society
 - Anonymous-communication networks, anonymous credentials, multiparty computation and oblivious transfer protocols are some examples of general-purpose PETs
- The use of these technologies is not widespread yet
 - are seen as an expensive innovation with unclear benefits
 - frequently come at the expense of system functionality and data distortion (a.k.a. utility)





->

PETS hide or distort PII

- Hopefully) impact on privacy! (which?)
- Impact on utility (in some cases)



Privacy – utility tradeoff (s.t.: cost)

	Q	Search					
BROWSE BY TOPIC EXPL	LORE DATA	LIBRARY	SURVEYS/ PROGRAMS	INFORMATION FOR	FIND A CODE	ABOUT	
2020 Census Population Counts for Apportionment are Now Available							

// Census.gov > 2020 Census Decade > 2020 Census Research, Operational Plans, and Oversight > Process > Disclosure Avoidance Modernization

2020 Census Data Products: Disclosure Avoidance Modernization

Modern computers and today's data-rich world have rendered the Census Bureau's traditional confidentiality protection methods obsolete. Those legacy methods are no match for hackers aiming to piece together the identities of the people and businesses behind published data.



A powerful new disclosure avoidance system (DAS) designed to withstand modern re-identification threats will protect 2020 Census data products (other than the apportionment data; those state-level totals remain unaltered by statistical noise).

Inspired by cryptographic principles, the 2020 DAS is the only solution that can respond to this threat while maximizing the availability and utility of published census data.

Isclosure Avoidance Webinar Series: Join live or view archived

Video Presentation: Differential Privacy and the 2020 Census [242 MB]

Census Bureau Declarations for Alabama v. Commerce II

Learn More:

presentations **

Litigation



Census Privacy Protection History

[4.2 MB] ource: census.gov



Parra-Arnau, Arias-Cabarcos, Strufe: Privacy-En Technologies - Metrics -

Privacy and Utility `Metrics'?



- Quantifiable measures of privacy and utility enable us to
 - assess, compare,
 - improve and optimize privacy-enhancing mechanisms
- What is a ,metric'?
 - A measure of the extent of inequality



- Math requires: non-negativity, identity of indiscernibles, symmetry, triangle ineq.
- Privacy metrics often just measure, and not metrics in the mathematical sense!
- Spectrum of expression
 - Pessimistic / worst-case metrics
 - Average case
 - Optimistic / best-case metrics

(the conventional security view)



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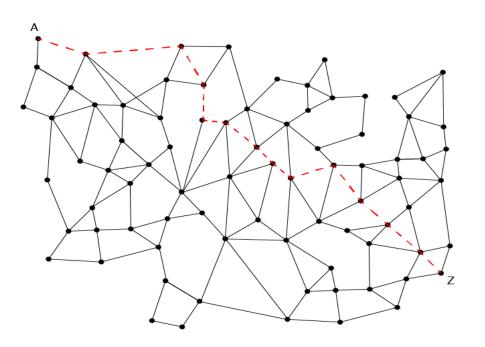
- Privacy domains are areas where PETs can be applied
- Common privacy domains:
 - Anonymous-communication systems
 - Databases
 - Personalized information systems
 - Location-based services
 - Interaction graph privacy
 - Genome privacy





Anonymous-communication systems

 The goal is to prevent an adversary from linking an outgoing message to its corresponding input message

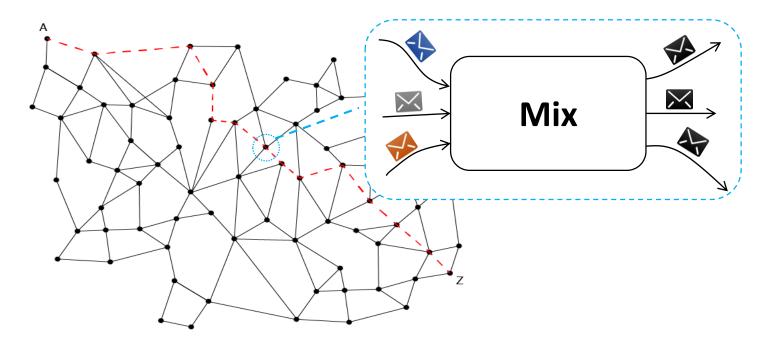






Anonymous-communication systems²

 The goal is to prevent an adversary from linking an outgoing message to its corresponding input message



² D. Chaum, "Untraceable electronic mail, return addresses, and digital pseudonyms", Commun. ACM, vol. 24, no. 2, pp. 84-88, 1981.



- Database anonymization
 - E.g., microdata

	Key Att	ributes	Confidential Attributes
Identifiers	Height	Weight	High Cholesterol
John Smith	5'4"	158	Y
Tang Lee	5'3"	162	Y
Luis Melo	5'6"	161	Y
Anna Frank	5'8"	157	N

Microdata





- Database anonymization³
 - E.g., microdata

Key Att	ributes	Confidential Attributes
Height	Weight	High Cholesterol
5'4"	158	Y
5'3"	162	Y
5'6"	161	Y
5'8"	157	N

De-identified microdata

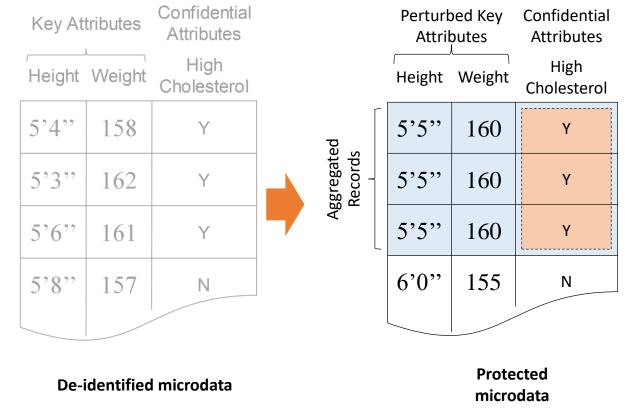
³ L. Sweeney, Uniqueness of Simple Demographics in the U.S. Population, LIDAPWP4. Carnegie Mellon University, Laboratory for International Data Privacy, Pittsburgh, PA, 2000.





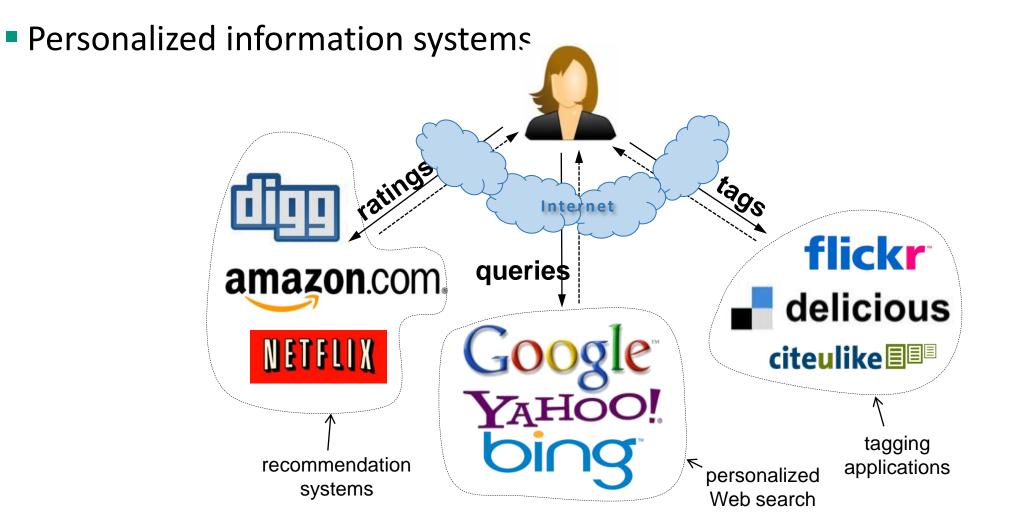


- Database anonymization
 - E.g., microdata













Personalized information systems



Your categories	Below you can edit the interests and inferred demographics that Google has associated with your cookie:				
	Category				
	Beauty & Fitness – Fitness – Yoga & Pilates	Remove			
	Hobbies & Leisure – Water Activities – Surf & Swim	<u>Remove</u>			
	Home & Garden – Home Improvement – House Painting & Finishing	<u>Remove</u>			
	News – Health News	<u>Remove</u>			
	People & Society – Family & Relationships – Family – Baby Names	Remove			
	People & Society – Family & Relationships – Family – Parenting – Baby Care	<u>Remove</u>			
	Sports – Individual Sports - Cycling	Remove			
	Sports – Individual Sports – Gymnastics	<u>Remove</u>			
	Demographics – Age – 25-34	Remove			
	Demographics – Gender – Female	Remove			



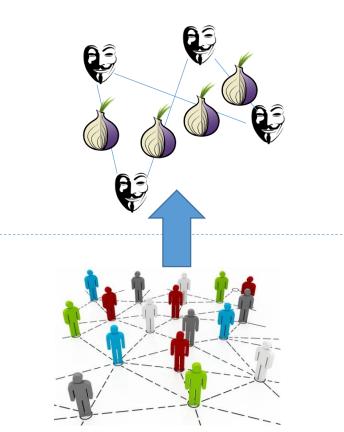


Location-based services



Source: Geospatial World

Interaction Graphs



Genomic Privacy



Source: Scientific American



Outline



- The importance of privacy metrics
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- Although there is a wide variety of privacy metrics, they all share some common features:
 - Adversary goals
 - Adversary capabilities
 - Data sources
 - Input of metric
 - Output measures





- Although there is a wide variety of privacy metrics, they all share some common features:
 - Adversary goals
 - Adversary capabilities
 - Data sources
 - Input of metric
 - Output measures

- Metrics are defined for a specific adversary
- Goals include
 - identifying a user
 - user **properties** (interests, preferences, location, etc.)
- Metrics need to be chosen according to that goal





- Although there is a wide variety of privacy metrics, they all share some common features:
 - Adversary goals
 - Adversary capabilities
 - Data sources
 - Input of metric
 - Output measures

- Attacker's success depends on its capabilities
- Metrics can only be employed to compare two PETs if they rely on the same adversary capabilities
- Taxonomy
 - Local-global
 - Passive-active
 - Internal-External
 - Prior knowledge
 - Resources





- Although there is a wide variety of privacy metrics, they all share some common features:
 - Adversary goals
 - Adversary capabilities
 - Data sources
 - Input of metric
 - Output measures

- Which **data** is to be protected? How does the adversary **gain access** to them?
 - Published data
 - Observable data
 - Repurposed data
 - All other data





- Although there is a wide variety of privacy metrics, they all share some common features:
 - Adversary goals
 - Adversary capabilities
 - Data sources
 - Input of metric
 - Output measures

- What are **assumptions** about the adversary, protection requirements?
 - Prior knowledge of the adversary
 - Adversary's resources
 - Adversary's estimate
 - Ground truth/true outcome
 - Parameters





- Although there is a wide variety of privacy metrics, they all share some common features:
 - Adversary goals
 - Adversary capabilities
 - Data sources
 - Input of metric
 - Output measures

- Which property is the metric measuring?
 - Uncertainty
 - Information gain/loss
 - Data similarity/dissimilarity
 - Indistinguishable
 - Error-based metrics
 - Time-based metrics



Outline



- The importance of privacy metrics
- Privacy domains
- Aspects of privacy metrics
- Privacy metrics by class (output)
 - Uncertainty-based
 - Information-gain/loss
 - Estimation error
 - Time-based metrics
 - Data-similarity
 - Indistinguishability-based



1) Uncertainty-based privacy metrics



- Assume that low uncertainty in the adversary's estimate correlates with low privacy
- The majority of these privacy metrics rely upon information-theoretic quantities (e.g., entropy)
- Origin in anonymous-communication systems

Examples

- Anonymity set size⁴
- Shannon's entropy⁵
- Normalized Shannon's entropy⁵
- Inherent privacy⁶
- Rényi entropy⁷

⁴ D. Chaum, "The dining cryptographers problem: unconditional sender and recipient untraceability. J. Cryptol. vol. 1, no. 1, pp. 65-75, March 1988.

⁵ C. Diaz, S. Seys, J. Claessens, B. Preneel, "Towards measuring anonymity", Privacy Enhancing Technologies (PET'02). LNCS 2482, pp. 54-68, 2002.

⁶ C. Andersson, R. Lundin, "On the fundamentals of anonymity metrics", In Proc. IFIP Int. Summer School on the Future of Identity in the Information Society. Karlstad, Sweden, pp. 325-341, 2008.

⁷ S. Clauß, S. Schiffner, "Structuring anonymity metrics", In Proc. ACM Workshop on Digital Identity Management (DIM'06), pp. 55-62, 2006.



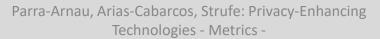
Anonymity set (size)



- Given a target member u, it is defined as the (size of the) set of members the adversary cannot distinguish from u
- The larger the anonymity set, the more anonymity a member is enjoying
- Widely used metric, not only in ACSs
- Simplicity, tractability are positive properties of this metric
- However: it only depends on the number of members in the system



Figures sources: blog.yellowoctopus.com.au, iconscout.com

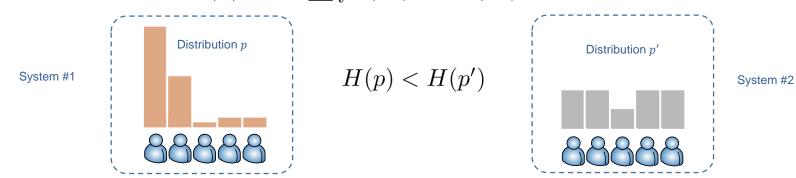


Shannon's entropy



- From information-theory, it measures the uncertainty associated with predicting the outcome of a random variable (r.v.)
- As a privacy metric
 - An adversary aims to learn which member of an anonymity set (or: group of suspects) performed a certain action (e.g., sent a message)
 - Let {x₁, x₂, ..., x_n} be the anonymity set and p(x_i) the probability estimated by the adversary of x_i being the user who performed such action
 - Attacker's aim: predict the outcome of an r.v. X distributed according to p (identify victim)
 - Defined as

 $H(p) = -\sum_{i} p(x_i) \log p(x_i)$

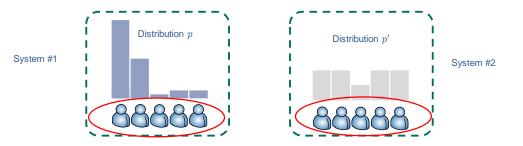




Normalized Shannon's entropy



- SE is useful if the size of the anonymity sets of both systems coincide
- Normalized Shannon's entropy allows comparison also otherwise



- What if I tell you that the Shannon's entropy of a system
 - is 4 bits?
 - is 8 bits?

NSE yields output in (0,1)

$$H(p) = -\sum_{i} p(x_i) \log p(x_i) \longrightarrow \frac{H(p)}{\log n}$$

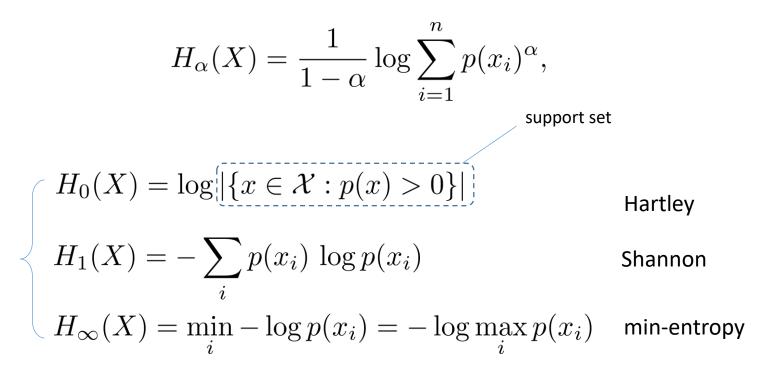
 p_{j}



Rényi's entropy

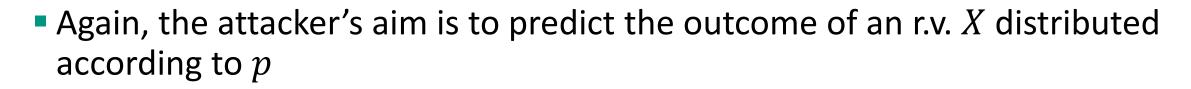


- Rényi's entropy is a family of functions widely used in information theory as a measure of uncertainty
- More specifically, Rényi's entropy of order α is defined as





Interpretation of several entropy measures





Karlsruhe Institute of Technology

Cross-Entropy



Measurement of the number of bits needed to identify an event x drawn from a set X if the original data are coded according to the model's distribution P, not their true distribution Q.

$$H(p,q) = -\sum_{x\in\mathcal{X}} p(x)\,\log q(x)$$

Originated in privacy-preserving ML



2) Information gain/loss-based privacy metrics



- Measure how much information is gained by an adversary after the attack
- Originate from information theory
- Applied to a variety of information, although mostly in anonymous communications and database
- Well-known examples include
 - KL divergence⁹
 - Mutual information¹⁰
 - Loss of anonymity¹¹
 - Information privacy assessment metric (IPAM)¹²

⁹ J. Parra-Arnau, D. Rebollo-Monedero, J. Forné, "Measuring the Privacy of User Profiles in Personalized Information Systems", Future Gen. Comput. Syst. (FGCS), vol. 33, pp. 53-63, Apr. 2014. ¹⁰ D. Rebollo-Monedero, J. Forné, J. Domingo-Ferrer, "From t-Closeness-Like Privacy to Postrandomization via Information Theory", IEEE Trans. Knowl., Data Eng., vol. 22, no. 11, pp. 1623-1636, Nov. 2010. ¹¹ K. Chatzikokolakis, C. Palamidessi, P. Panangaden, "Anonymity protocols as noisy channels", Inf. Comput. 206, 2-4, pp.378-401, Feb. 2008. ¹² S. Oukemeni, H. Rifà-Pous and J. M. Marquès Puig, "IPAM: Information Privacy Assessment Metric in Microblogging Online Social Networks," in IEEE Access, vol. 7, pp. 114817-114836, 2019.

Relative entropy (KL)



• Given two probability distributions p(x) and q(x) over the same alphabet, the Kullback-Leibler (KL) divergence or relative entropy is defined as

$$D(p || q) = E_p \log \frac{p(X)}{q(X)} = \sum_x p(x) \log \frac{p(x)}{q(x)}$$

Let u denote the uniform distribution on an alphabet of size n. Shannon's entropy is a special case of KL divergence as per

 $D(p \| u) = \log n - H(p)$

- Gives a measure of discrepancy between distributions $D(p \,\|\, q) \geq 0, \quad \text{with equality if, and only if, } p = q$
- Input: prior and posterior distribution of adversary, comp. to true distribution



Interpretation of relative entropy



- We interpret KL divergence as privacy metric in the application of personalized information systems under two different adversary goals
 - Individuation
 - Classification
- Users counter the adversary by distorting their private data



user's apparent profile t

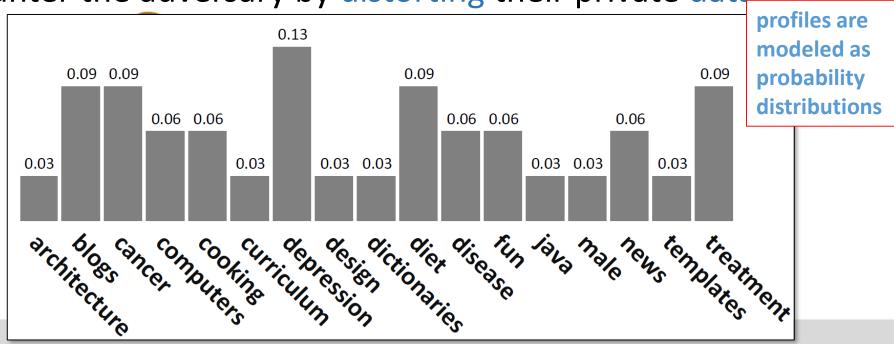
user's actual profile q



Interpretation of relative entropy



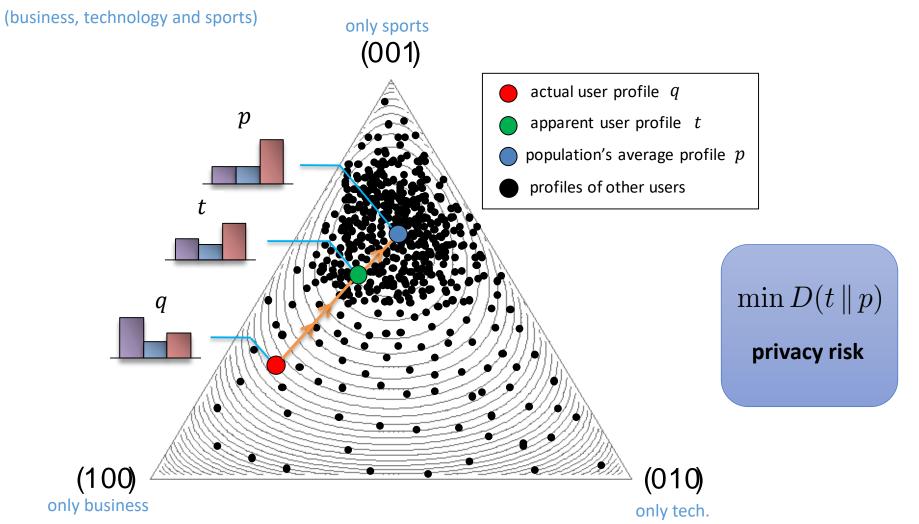
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Interpretation of relative entropy

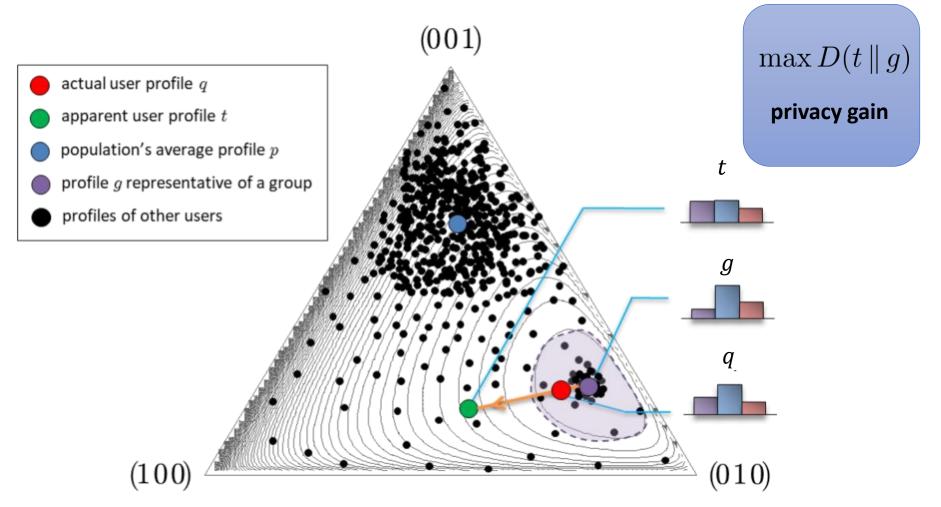






Interpretation of relative entropy







Mutual information



• Consider two random variables X and Y with a joint probability mass function p(x, y) and marginal probability mass functions p(x) and p(y). The mutual information I(X; Y) is defined as

$$I(X;Y) = E_{X,Y} \log \frac{p_{X|Y}(X|Y)}{p_X(X)} = D(p_{X,Y} || p_X p_Y)$$

Nonnegativity of mutual information

 $I(X;Y) \geq 0$, with equality if and only if X and Y are independent

- Typical use
 - X, sensitive unknown user data
 - Y, data observed by the adversary, accompanied possibly with background-knowledge information; or information disclosed by the user



3) Error-based privacy metrics



- Measure the error an adversary may make in their attempt to estimate unknown private information
- Examples include
 - Bayes risk attacker's estimation error by Rebollo et al¹³
 - Correctness, by Shokri et al¹⁴
 - Mean squared error¹⁵

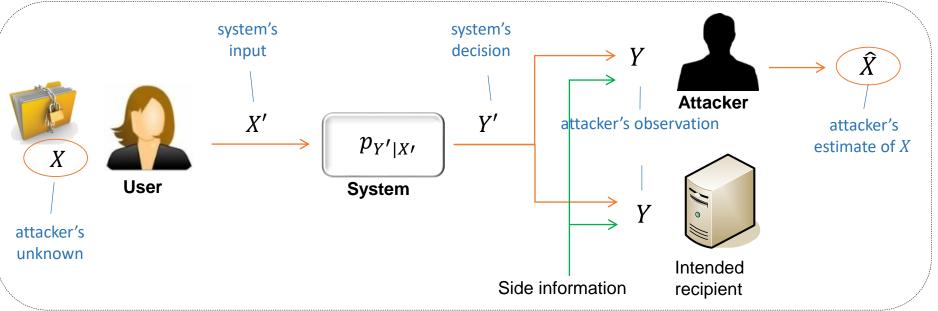
¹³ D. Rebollo-Monedero, J. Parra-Arnau, C. Diaz, J. Forné, "On the measurement of privacy as an attacker's estimation error", Int. Journal Inform. Secur., vol. 12, no. 2, Apr. 2013, pp. 129-149.
¹⁴ R. Shokri, G. Theodorakopoulos, J.-Y. Le Boudec, J.-P. Hubaux, "Quantifying location privacy", In Proc. IEEE Symp. on Security and Privacy, pp. 247-262, 2011.

¹⁵ S. Oya, C. Troncoso, F. Pérez-González, "Do dummies pay off? Limits of dummy traffic protection in anonymous communications", In Proc. Privacy Enhancing Technologies (PETS), pp. 204-223, 2014.



Attacker's estimation error ¹³





- Probabilistic formulation
 - Confidential information X, unknown to the attacker
 - User's data X' required by the system to make a decision
 - •Information disclosed by the system, Y'
- •Information observed by the attacker, Y
- Attacker's estimate \hat{X} of the confidential information, from observation



Attacker's estimation error¹³



- The attacker's distortion (or error) measure $d_A(x, \hat{x})$ represents the (instantaneous) privacy attained when the unknown confidential information takes on the value X = x but the attacker's estimate is $\hat{X} = \hat{x}$
- We measure privacy as the (expected) privacy attained, also known as Bayes risk,

$$P = E \, d_A(X, \hat{X})$$

Analogously, we measure (expected) utility by using a utility distortion d_A measure $d_S(x',y')$ defined by the system, $D = E d_S(X',Y')$

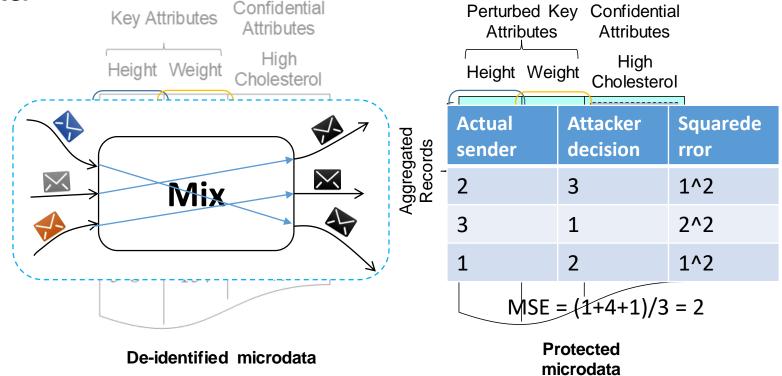


Mean squared error



What is the most popular measure of utility?

In microdata



¹⁶ S. Oya, C. Troncoso, F. Pérez-González, "Do dummies pay off? Limits of dummy traffic protection in anonymous communications", In Proc. Privacy Enhancing Technologies (PETS), pp. 204-223, 2014.



4) Metrics based on adversary's success probability



- Capture how likely the adversary will be to compromise our privacy in one or several attacks
- High privacy correlates with low success probability
- Examples include
 - Degrees of anonymity ¹⁷
 - Sender anonymity ¹⁸

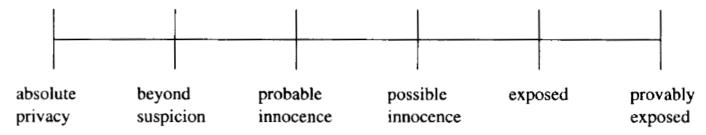
¹⁷ M. K. Reiter and A. D. Rubin, "Crowds: Anonymity for Web transactions", ACM Trans. Inform. Syst. Secur., vol. 1, no. 1, pp. 66-92, 1998.
¹⁸ C. Tripp Barba, L. Urquiza Aguiar, M. Aguilar, J. Parra-Arnau, D. Rebollo-Monedero, J. Forné, E. Pallarès, "A Collaborative Protocol for Anonymous Reporting in Vehicular Ad Hoc Networks", Computer Standards & Interfaces, vol. 36, no. 1, Nov. 2013, pp. 188-197.



Degree of anonymity



 Defined in the context of anonymous communications, with respect to sender anonymity



- **Provably exposed**: the attacker can identify (and prove to others) the sender of a message. Formally, $p_1 = 1$.
- Absolute privacy: sending a message produces no observable effects on the attacker. Formally, $p_1 = 0$.
- Beyond suspicion: the sender appears no more likely to be the originator than others. Formally, $p_1 \le p_2, ..., p_n$
- **Probable innocent**: the sender appears no more likely to be the originator than to not be the originator. Formally, $p_1 \le 0.5$.
- **Possible innocent**: there is a non-negligible probability that the real sender is someone else. Formally, $p_1 \le 1 \delta$, with $\delta \le 0.5$
- **Exposed:** the adversary's probability is above a threshold τ (e.g., $\tau = 0.9$)

Source: original paper





5) Time-based privacy metrics



The output is time, an important resource for adversaries to compromise user privacy

- Pessimistically assume the adversary will succeed at some point
 - Time until adversary's success¹⁹
 - Maximum tracking time²⁰

¹⁹ M. Wright, M. Adler, B. N. Levine, C. Shields, "An analysis of the degradation of anonymous protocols", In Proc. Network and Distributed System Security Symp. (NDSS), vol. 2. pp. 39-50, 2002.
²⁰ K. Sampigethaya, L. Huang, M. Li, R. Poovendran, K. Matsuura, K. Sezaki, "CARAVAN: Providing location privacy for VANET", In Embedded Security in Cars (ESCAR), pp. 29-37, 2005.



Time until adversary's success



- In the context of ACSs
- Measure privacy as the time required for attackers to degrade the anonymity of a particular initiator with high probability
- Define "success"
 - Able to identify *n* out of *N* of the target's possible communication peers

Maximum tracking time

- Privacy defined as the cumulative time the attacker tracks a user
- Assumes tracking is carried out only if the size of the anonymity set is 1
- Optimistic or pessimist privacy metric?



6) Data-similarity-based privacy metrics



- Arise in the context of database anonymity
- Measure properties of observable or published data
- Derive the privacy level based on the features of disclosed data

Well-known examples include

- k-anonymity²¹
- p-sensitive k-anonymity²²
- *l*-diversity²³
- t-closeness²⁴
- stochastic t-closeness²⁵

²¹ L. Sweeney, "k-Anonymity: A model for protecting privacy", Int. J. Uncertain., Fuzz., Knowl.-Based Syst., vol. 10, no. 5, pp. 557-570, 2002.

²² T. M. Truta and B. Vinay, "Privacy protection: p-sensitive k-anonymity property", in Proc. Int. Workshop Priv. Data Manage. (PDM), Atlanta, GA, 2006.

²³ A. Machanavajjhala, J. Gehrke, D. Kiefer, M. Venkitasubramanian, "l-Diversity: Privacy beyond k-anonymity", in Proc. IEEE Int. Conf. Data Eng. (ICDE), Atlanta, GA, Apr. 2006.
 ²⁴ N. Li, T. Li, S. Venkatasubramanian, "t-Closeness: Privacy beyond k-anonymity and l-

diversity", in Proc. IEEE Int. Conf. Data Eng. (ICDE), Istanbul, Turkey, Apr. 2007, pp. 106-115. ²⁵ J. Domingo-Ferrer, J. Soria-Comas, "From t-closeness to differential privacy and vice versa in data anonymization", Know.-Based Syst. 74, 1, pp. 151-158, 2015.



k-Anonymity

а



	Identifying Attribute	Quasi-identifier			Sensitive attribute
	Name	DOB	Gender	Zipcode	Disease
	Andre	1/21/76	Female	53715	Heart Disease
	Beth	4/13/86	Female	53715	Hepatitis
	Carol	2/28/76	Male	53703	Brochitis
tuple	Dan	1/21/76	Male	53703	Broken Arm
	Ellen	4/13/86	Female	53806	Flu
	Eric	2/28/76	Female	53806	Hang Nail

- The information for each respondent contained in the released data set cannot be distinguished from at least k 1 individuals
- Each tuple of quasi-identifier values in the released table must appear in at least k records



k-Anonymity



date of birth

Name	DOB	Gender	Zipcode	Disease
Andre	1/21/76	Female	53715	Heart Disease
Beth	4/13/86	Female	53715	Hepatitis
Carol	2/28/76	Male	53703	Brochitis
Dan	1/21/76	Male	53703	Broken Arm
Ellen	4/13/86	Female	53806	Flu
Eric	2/28/76	Female	53806	Hang Nail

original table

	DOB	Gender	Zipcode	Disease	
2-anonymous table	*	Female	5371*	Heart Disease	
	*	Female	5371*	Hepatitis	
	*	Male	5370*	Brochitis	
	*	Male	5370*	Broken Arm	
	*	Female	538**	Flu	
	*	Female	538**	Hang Nail	



6) Indistinguishability-based privacy metrics



- Is the adversary able to distinguish between two outcomes of a PET?
- The harder for the adversary to distinguish any pair of outcomes, the higher the privacy provided by the PET
- Typically binary metrics
- Examples include
 - Differential privacy²⁷
 - Individual differential privacy²⁸

²⁷ C. Dwork, "Differential privacy," in Proc. Int. Colloq. Automata, Lang., Program. Springer-Verlag, 2006, pp. 1-12.

²⁸ J. Soria-Comas, J. Domingo-Ferrer, D. Snchez, and D. Megas, "Individual differential privacy: a utilitypreserving formulation of differential privacy guarantees," IEEE Transactions on Information Forensics and Security, vol. 12, no. 6, pp. 1418-1429, Jun. 2017.



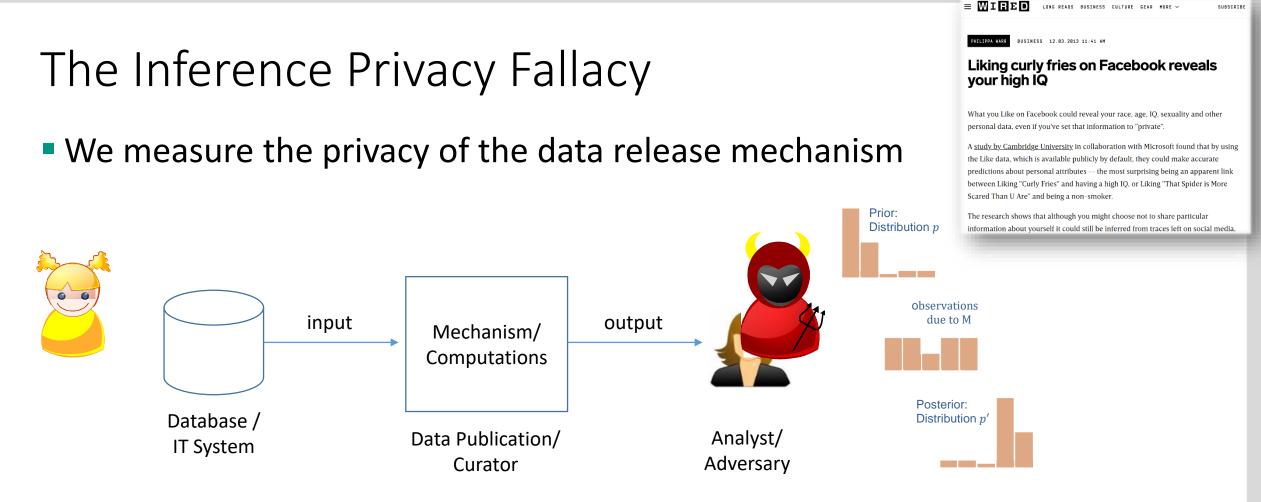
Differential privacy

- Setting
 - Database: composed of individual
 - Curator: aimed to protect individua



- To be discussed a bit later
- Analyst or data user: wishes to perform computations on the database
- A computation protects the privacy of individuals in the data if its output does not reveal any information that is specific to any individual data subject
- Differential privacy formalizes this intuition as a mathematical definition





We cannot protect adaptation of the prior (and corresponding inference)

General: If statistics are revealed, they are useless or help improve the prior

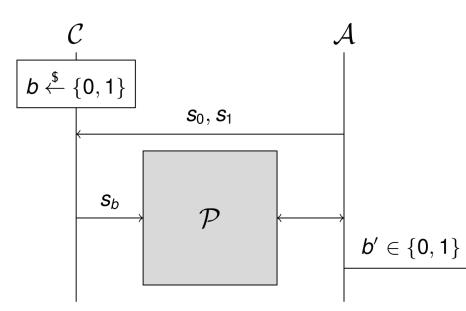


Back to ACS: Indistinguishability Games

Parra-Arnau, Aria



Recall IND-CPA game from crypto...



²⁹ Kuhn et al., "On Privacy Notions in Anonymous Communication", PoPETS (2) 2019: 105-125

Communication properties

- U and U' Which senders/receivers are active?
- IU and IU' How many senders/receivers are active?
- Q and Q' Which user sends/receives how many messages?
- H and H' How many users sends/receives how many messages?
- P and P' Which messages are send/received by the

Example: Sender Notions

- All disclose receiver-message relation, but hide who sends which message
- Sender Unobservability (SO) additionally discloses number of
- communications
- **Sender-Frequency Unlinkability** (SF L) additionally discloses number of communications and set of active users
- Sender-Messages Unlinkability (SML) additionally discloses number of communications, set of active users, and number of messages per sender

Summary



- Selection of over 25 privacy metrics across four privacy domains
- Followed the structure proposed by¹ based on metrics' outputs
 - Uncertainty
 - Information gain/loss
 - Error-based metrics
 - Success-estimate metrics
 - Time-based metrics
 - Data similarity/dissimilarity
 - Indistinguishable
- Best-case (optimisic), average-case, and worst-case (pessimistic)
- Connections among them, "Technical Privacy Metrics: A Systematic Survey", ACM Comput.

Surv. 51, 3, Article 57, June 2018.

